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> 116TH CONGRESS 1ST SESSION

H. R. 36

AN ACT

To provide for research to better understand the causes and consequences of sexual harassment affecting individuals in the scientific, technical, engineering, and mathematics workforce and to examine policies to reduce the prevalence and negative impact of such harassment, and for other purposes. 1 Be it enacted by the Senate and House of Representa-

2 tives of the United States of America in Congress assembled,

3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

- 4 (a) SHORT TITLE.—This Act may be cited as the
- 5 "Combating Sexual Harassment in Science Act of 2019".
- 6 (b) TABLE OF CONTENTS.—The table of contents for

7 this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Findings.
- Sec. 3. Definitions.
- Sec. 4. Research grants.
- Sec. 5. Data collection.
- Sec. 6. Responsible conduct guide.
- Sec. 7. Interagency working group.
- Sec. 8. National academies assessment.
- Sec. 9. Authorization of appropriations.

8 SEC. 2. FINDINGS.

9	Congress makes the following findings:
10	(1) According to the report issued by the Na-
11	tional Academies of Sciences, Engineering, and Med-
12	icine in 2018 entitled "Sexual Harassment of
13	Women: Climate, Culture, and Consequences in Aca-
14	demic Sciences, Engineering, and Medicine"—
15	(A) sexual harassment is pervasive in insti-
16	tutions of higher education;
17	(B) the most common type of sexual har-
18	assment is gender harassment, which includes
19	verbal and nonverbal behaviors that convey in-
20	sulting, hostile, and degrading attitudes about
21	members of one gender;

1	(C) 58 percent of individuals in the aca-
2	demic workplace experience sexual harassment,
3	the second highest rate when compared to the
4	military, the private sector, and Federal, State,
5	and local government;
6	(D) women who are members of racial or
7	ethnic minority groups are more likely to expe-
8	rience sexual harassment and to feel unsafe at
9	work than White women, White men, or men
10	who are members of such groups;
11	(E) the training for each individual who
12	has a doctor of philosophy in the science, tech-
13	nology, engineering, and mathematics fields is
14	estimated to cost approximately \$500,000; and
15	(F) attrition of an individual so trained re-
16	sults in a loss of talent and money.
17	(2) Sexual harassment undermines career ad-
18	vancement for women.
19	(3) According to a 2017 University of Illinois
20	study, among astronomers and planetary scientists,
21	18 percent of women who are members of racial or
22	ethnic minority groups and 12 percent of White
23	women skipped professional events because they did
24	not feel safe attending.

(4) Many women report leaving employment at
 institutions of higher education due to sexual har assment.

4 (5) Research shows the majority of individuals
5 do not formally report experiences of sexual harass6 ment due to a justified fear of retaliation or other
7 negative professional or personal consequences.

8 (6) Reporting procedures with respect to such
9 harassment are inconsistent among Federal science
10 agencies and have varying degrees of accessibility.

(7) There is not adequate communication
among Federal science agencies and between such
agencies and grantees regarding reports of sexual
harassment, which has resulted in harassers receiving Federal funding after moving to a different institution.

17 SEC. 3. DEFINITIONS.

18 In this Act:

19 (1) ACADEMIES.—The term "Academies"
20 means the National Academies of Sciences, Engi21 neering, and Medicine.

22 (2) DIRECTOR.—The term "Director" means
23 the Director of the National Science Foundation.

24 (3) FEDERAL SCIENCE AGENCY.—The term
25 "Federal science agency" means any Federal agency

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with an annual extramural research expenditure of
 over \$100,000,000.

3 (4) FINDING OR DETERMINATION.—The term
4 "finding or determination" means the final disposi5 tion of a matter involving a violation of organiza6 tional policies and processes, to include the exhaus7 tion of permissible appeals, or a conviction of a sex8 ual offense in a criminal court of law.

9 (5) GENDER HARASSMENT.—The term "gender 10 harassment" means verbal and nonverbal behaviors 11 that convey hostility, objectification, exclusion, or 12 second-class status about one's gender, gender iden-13 tity, gender presentation, sexual orientation, or preg-14 nancy status.

(6) GRANTEE.—The term "grantee" means the
legal entity to which a grant is awarded and that is
accountable to the Federal Government for the use
of the funds provided.

19 (7) GRANT PERSONNEL.—The term "grant per20 sonnel" means principal investigators, co-principal
21 investigators, postdoctoral researchers and other em22 ployees supported by a grant award, cooperative
23 agreement, or contract under Federal law.

24 (8) INSTITUTION OF HIGHER EDUCATION.—The
25 term "institution of higher education" has the

1	meaning given such term in section 101 of the High-
2	er Education Act of 1965 (20 U.S.C. 1001).
3	(9) SEXUAL HARASSMENT.—The term "sexual
4	harassment" means conduct that encompasses—
5	(A) unwelcome sexual advances;
6	(B) unwanted physical contact that is sex-
7	ual in nature, including assault;
8	(C) unwanted sexual attention, including
9	sexual comments and propositions for sexual ac-
10	tivity;
11	(D) conditioning professional or edu-
12	cational benefits on sexual activity; and
13	(E) retaliation for rejecting unwanted sex-
14	ual attention.
15	(10) STEM.—The term "STEM" means
16	science, technology, engineering, and mathematics,
17	including computer science.
18	SEC. 4. RESEARCH GRANTS.
19	(a) IN GENERAL.—The Director shall establish a pro-
20	gram to award grants, on a competitive basis, to institu-
21	tions of higher education or nonprofit organizations (or
22	consortia of such institutions or organizations)—
23	(1) to expand research efforts to better under-
24	stand the factors contributing to, and consequences
25	of, sexual harassment and gender harassment affect-

1	ing individuals in the STEM workforce, including
2	students and trainees; and
3	(2) to examine interventions to reduce the inci-
4	dence and negative consequences of such harass-
5	ment.
6	(b) USE OF FUNDS.—Activities funded by a grant
7	under this section may include—
8	(1) research on the sexual harassment and gen-
9	der harassment experiences of individuals in under-
10	represented or vulnerable groups, including racial
11	and ethnic minority groups, disabled individuals, for-
12	eign nationals, sexual- and gender-minority individ-
13	uals, and others;
14	(2) development and assessment of policies,
15	procedures, trainings, and interventions, with respect
16	to sexual harassment and gender harassment, con-
17	flict management, and ways to foster respectful and
18	inclusive climates;
19	(3) research on approaches for remediating the
20	negative impacts and outcomes of such harassment
21	on individuals experiencing such harassment;
22	(4) support for institutions of higher education
23	to develop, adapt, and assess the impact of innova-
24	tive, evidence-based strategies, policies, and ap-

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5 ited to the mentor-mentee relationship, in academia
6 that have been shown to create higher levels of risk
7 for sexual harassment and gender harassment; and
8 (6) establishing a center for the ongoing com9 pilation, management, and analysis of campus cli10 mate survey data.

11 SEC. 5. DATA COLLECTION.

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12 Not later than 180 days after the date of enactment 13 of this Act, the Director shall convene a working group 14 composed of representatives of Federal statistical agen-15 cies—

(1) to develop questions on sexual harassment
and gender harassment in STEM departments to
gather national data on the prevalence, nature, and
implications of sexual harassment and gender harassment in institutions of higher education; and

(2) to include such questions as appropriate,
with sufficient protections of the privacy of respondents, in relevant surveys conducted by the National
Center for Science and Engineering Statistics and
other relevant entities.

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1 SEC. 6. RESPONSIBLE CONDUCT GUIDE.

(a) IN GENERAL.—Not later than 180 days after the
date of enactment of this Act, the Director shall enter into
an agreement with the Academies to update the report entitled "On Being a Scientist: A Guide to Responsible Conduct in Research" issued by the Academies. The report,
as so updated, shall include—

8 (1) updated professional standards of conduct9 in research;

10 (2) standards of treatment individuals can ex11 pect to receive under such updated standards of con12 duct;

13 (3) evidence-based practices for fostering a cli14 mate intolerant of sexual harassment and gender
15 harassment;

16 (4) methods, including bystander intervention,
17 for identifying and addressing incidents of sexual
18 harassment and gender harassment; and

19 (5) professional standards for mentorship and
20 teaching with an emphasis on preventing sexual har21 assment and gender harassment.

(b) RECOMMENDATIONS.—In updating the report
under subsection (a), the Academies shall take into account recommendations made in the report issued by the
Academies in 2018 entitled "Sexual Harassment of
Women: Climate, Culture, and Consequences in Academic
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Sciences, Engineering, and Medicine" and other relevant
 studies and evidence.

3 (c) REPORT.—Not later than 18 months after the effective date of the contract under subsection (a), the Acad-4 5 emies, as part of such agreement, shall submit to the Director and the Committee on Science, Space, and Tech-6 7 nology of the House of Representatives and the Committee 8 on Commerce, Science, and Transportation of the Senate 9 the report referred to in such subsection, as updated pur-10 suant to such subsection.

11 SEC. 7. INTERAGENCY WORKING GROUP.

(a) IN GENERAL.—The Director of the Office of 12 13 Science and Technology Policy, acting through the National Science and Technology Council, shall establish an 14 15 interagency working group for the purpose of coordinating Federal science agency efforts to reduce the prevalence of 16 17 sexual harassment and gender harassment involving grant personnel. The working group shall be chaired by the Di-18 rector of the Office of Science and Technology Policy (or 19 20 the Director's designee) and shall include a representative 21 from each Federal science agency with annual extramural 22 research expenditures totaling over \$1,000,000,000, a rep-23 resentative from the Department of Education, and a rep-24 resentative from the U.S. Equal Employment Opportunity Commission. 25

(b) RESPONSIBILITIES OF WORKING GROUP.—The
 interagency working group established under subsection
 (a) shall coordinate Federal science agency efforts to im plement the policy guidelines developed under subsection
 (c)(2).

6 (c) RESPONSIBILITIES OF OSTP.—The Director of
7 the Office of Science and Technology Policy shall—

8 (1) not later than 90 days after the date of the 9 enactment of this Act, submit to the Committee on 10 Science, Space, and Technology of the House of 11 Representatives and the Committee on Commerce, 12 Science, and Transportation of the Senate an inven-13 tory of policies, procedures, and resources dedicated 14 to preventing and responding to reports of sexual 15 harassment and gender harassment at Federal agen-16 cies that provide legal definitions to which institu-17 tions of higher education must comply; and

18 (2) not later than 6 months after the date on
19 which the inventory is submitted under paragraph
20 (1)—

21 (A) in consultation with outside stake22 holders and Federal science agencies, develop a
23 uniform set of policy guidelines for Federal
24 science agencies; and

1 (B) submit a report to the committees re-2 ferred to in paragraph (1) containing such 3 guidelines;

4 (3) encourage and monitor efforts of Federal
5 science agencies to develop or maintain and imple6 ment policies based on the guidelines developed
7 under paragraph (2), including the extent to which
8 Federal science agency policies depart from the uni9 form policy guidelines;

(4) not later than 1 year after the date on
which the inventory under paragraph (1) is submitted, and every 5 years thereafter, the Director of
the Office of Science and Technology Policy shall report to Congress on the implementation by Federal
science agencies of the policy guidelines developed
under paragraph (2); and

17 (5) update such policy guidelines as needed.
18 (d) REQUIREMENTS.—In developing policy guidelines
19 under subsection (c)(2), the Director of the Office of
20 Science and Technology Policy shall include guidelines
21 that require—

(1) grantees to submit to the Federal science
agency or agencies from which the grantees receive
funding reports relating to—

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1 (A) administrative action, related to an al-2 legation against grant personnel of any sexual 3 harassment or gender harassment, as set forth 4 in organizational policies or codes of conduct, 5 statutes, regulations, or executive orders, that 6 affects the ability of grant personnel or their 7 trainees to carry out the activities of the grant; 8 and

9 (B) findings or determinations against 10 grant personnel of sexual harassment or gender 11 harassment, as set forth in organizational poli-12 cies or codes of conduct, statutes, regulations, 13 or executive orders, including any findings or 14 determinations related to reports submitted 15 under subparagraph (A) and any disciplinary 16 action that was taken;

17 (2) the sharing, updating, and archiving of re18 ports of sexual harassment and gender harassment
19 from grantees submitted under paragraph (1)(B)
20 with relevant Federal science agencies on a quarterly
21 basis; and

(3) to the extent practicable, ensure consistency
among Federal agencies with regards to the policies
and procedures for receiving reports submitted pursuant to paragraph (1), which may include the des-

ignation of a single agency to field reports so sub mitted.

3 (e) CONSIDERATIONS.—In developing policy guide4 lines under subsection (c)(2), the Director of the Office
5 of Science and Technology Policy shall consider guidelines
6 that require or incentivize—

7 (1) grantees to periodically assess their organi8 zational climate, which may include the use of cli9 mate surveys, focus groups, or exit interviews;

(2) grantees to publish on a publicly available
internet website the results of assessments conducted pursuant to paragraph (1), disaggregated by
gender and, if possible, race, ethnicity, disability status, and sexual orientation;

(3) grantees to make public on an annual basis
the number of reports of sexual harassment and
gender harassment at each such institution;

(4) grantees to regularly assess and improve
policies, procedures, and interventions to reduce the
prevalence of sexual harassment and gender harassment;

(5) each grantee to demonstrate in its proposal
for a grant award, cooperative agreement, or contract that a code of conduct is in place for maintain-

1	ing a healthy and welcoming workplace for grant
2	personnel and their trainees;
3	(6) the diffusion of the hierarchical and depend-
4	ent relationships between grant personnel and their
5	trainees;
6	(7) each grantee and Federal science agency to
7	have in place mechanisms for the re-integration of
8	individuals who have experienced sexual harassment
9	and gender harassment; and
10	(8) grantees to work to create a climate intoler-
11	ant of sexual harassment and gender harassment.
12	(f) Federal Science Agency Implementation.—
13	Each Federal science agency shall—
14	(1) develop or maintain and implement policies
15	with respect to sexual harassment and gender har-
16	assment that are consistent with policy guidelines
17	under subsection $(c)(2)$ and that protect the privacy
18	of all parties involved in any report and investigation
19	of sexual harassment and gender harassment, except
20	to the extent necessary to carry out an investigation;
21	and
22	(2) broadly disseminate such policies to current
23	and potential recipients of research grants, coopera-
24	tive agreements, or contracts awarded by such agen-
25	cy.
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(g) FERPA.—The Director of the Office of Science
 and Technology Policy shall ensure that such guidelines
 and requirements are consistent with the requirements of
 section 444 of the General Education Provisions Act (20
 U.S.C. 1232g) (commonly referred to as the "Family Edu cational Rights and Privacy Act of 1974").

7 (h) SUNSET.—The interagency working group estab8 lished under subsection (a) shall terminate on the date
9 that is 7 years after the date of the enactment of this
10 Act.

11 SEC. 8. NATIONAL ACADEMIES ASSESSMENT.

(a) IN GENERAL.—Not later than 3 years after the
date of enactment of this Act, the Director shall enter into
an agreement with the Academies to undertake a study
of the influence of sexual harassment and gender harassment in institutions of higher education on the career advancement of individuals in the STEM workforce. The
study shall assess—

- 19 (1) the state of research on sexual harassment20 and gender harassment in such workforce;
- (2) whether research demonstrates a change in
 the prevalence of sexual harassment and gender harassment in such workforce;

24 (3) the progress made with respect to imple-25 menting recommendations promulgated in the Acad-

emies consensus study report entitled "Sexual Har assment of Women: Climate, Culture, and Con sequences in Academic Sciences, Engineering, and
 Medicine"; and

5 (4) where to focus future efforts with respect to
6 decreasing sexual harassment and gender harass7 ment in such institutions.

8 SEC. 9. AUTHORIZATION OF APPROPRIATIONS.

9 There is authorized to be appropriated to the Direc-10 tor to carry out this Act, \$17,500,000.

Passed the House of Representatives July 23, 2019. Attest:

Clerk.

116TH CONGRESS H. R. 36

AN ACT

To provide for research to better understand the causes and consequences of sexual harassment affecting individuals in the scientific, technical, engineering, and mathematics workforce and to examine policies to reduce the prevalence and negative impact of such harassment, and for other purposes.